

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James M. Cleaves et al.

Serial No.: Not yet assigned

Filed: Herewith

For: INTEGRATED CIRCUIT FEATURE
LAYOUT FOR IMPROVED CHEMICAL
MECHANICAL POLISHING

Which is a Divisional of Application of:

Serial No: 09/935,852

Filed: August 22, 2001

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Examiner: Not yet assigned

Art Unit: Not yet assigned

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Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X _____ 37 C.F.R. §1.97(b).

_____ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e) or

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_____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3/12, 2004



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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Not yet assigned
				Filing Date	Herewith
				First Named Inventor:	James M. Cleeves
				Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet	1	of	2	Attorney Docket Number	3558P020D2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	4,489,478	12/25/1984	Sakurai	
		US-	4,500,905	2/19/1985	Shibata	
		US-	4,916,514	4/10/1990	Nowak	
		US-	4,711,701	12/8/1987	McLevige	
		US-	4,963,501	10/6/1990	Ryan et al.	
		US-	4,973,562	11/27/1990	Den Blanken	
		US-	4,949,162	8/14/1990	Tamaki et al	
		US-	5,032,890	7/16/1991	Ushiku et al.	
		US-	5,132,237	7/21/1992	Matthews	
		US-	5,278,105	1/11/1994	Eden et al.	
		US-	5,733,798	3/31/1998	Michael et al.	
		US-	5,745,407	4/28/1998	Levy et al.	
		US-	5,789,120	8/4/1998	Jang et al.	
		US-	5,885,856	3/23/1999	Gilbert et al.	
		US-	5,891,384	11/9/1999	Juengling	
			6,171,976 B1	1/9/2001	Cheng	
			6,232,231 B1	5/15/2001	Sethuraman et al.	
			6,396,158 B1	5/28/2002	Travis et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
		JP	411251531A		12/8/1998	Samsung Electronics Co. Ltd		
		EP	0073486		8/26/1982	Tokyo Shibaura Denki		
		EP	0395886		3/29/1990	Olympus Optical Co. Ltd		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.
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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	Not yet assigned	
			Filing Date	Herewith	
			First Named Inventor:	James M. Cleeves	
			Art Unit	Unknown	
			Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	3558P020D2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Yoichi Akasaka "Three Dimensional Integrated Circuit: Technology and Application Prospect", Microelectronics Journal, Vol. 20, Nos 1-2, 1989	
		Yoichi Akasaka "Three Dimensional IC Trends", Proceedings of the IEEE, Vol. 74, No. 12, December 1986	
		"Exotic Memories Diverse Approaches" EDN Asia Magazine, September 2001	

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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